Form D

Page 1 of 7

SEC 1972 (6-02) Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# ATTENTION

Failure to file notice in the appropriate states will not result in a loss of RECEIVED the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption state SEP 3 0 2 exemption unless such exemption is predicated on the filing of a federal notice.

0 2002

64/

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0076
Expires: May 31, 2005
Estimated average burden hours per response...16

# FORM D

# NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

PROCESSED

OCT 0 9 2002

SEC USE ONLY

THOMSOMERIX Serial

FINANCIAL

DATE RECEIVED

Name of Offering ([ ]check if this is an amendment and name has changed, and indicate change.)

Nuventive, LLC - Offering of up to 2,551 Class A Voting Units (Subject to Over-Allotment)

Filing Under (Check box(es) that apply): [ ] Rule 504 [ ] Rule 505 [X] Rule 506 [ ] Section 4(6) [ ] ULOE

Type of Filing: [] New Filing [X] Amendment

1. Enter the information requested about the issuer

### A. BASIC IDENTIFICATION DATA

02059725

Name of Issuer ([ ]check if this is an amendment and name has changed, and indicate change.)

Nuventive, LLC

Address of Executive Offices (Number and Street, City, State, Zip Code) Telephone Number (Including Area Code)

<u>Castle Town Square North, 4290 Route 8 – Suite 205, Allison Park, PA 15101 (412) 487-1921</u>

Address of Principal Business Operations (Number and Street, City, State, Zip Code) Telephone Number (Including Area Code) (if different from Executive Offices)

Brief Description of Business

Software Development and Distribution



# Page 2 of 7 Form D Type of Business Organization [ ] corporation [X] other (please specify): [ ] limited partnership, already formed [ ] limited partnership, to be formed limited liability company [ ] business trust Month Year Actual or Estimated Date of Incorporation or Organization: [1][2][0][0] [X] Actual [ ] Estimated Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State; CN for Canada; FN for other foreign jurisdiction) [D][E] GENERAL INSTRUCTIONS Federal: Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6). When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address. Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549. Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of manually signed copy or bear typed or printed signatures. Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

#### State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix in the notice constitutes a part of this notice and must be completed.

## A. BASIC IDENTIFICATION DATA

- 2. Enter the information requested for the following:
- Each promoter of the issuer, if the issuer has been organized within the past five years;
- Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
- Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
- Each general and managing partner of partnership issuers.

Check Box(es) that apply:	[X]	Promoter	[X]	Beneficial Owner	[X]	Executive Officer	[]	Director	[]	General and/or Managing Partner
Full Name (Last nam	ne first	, if individu	ial)		· ·					
Raney, David F.			,							
Business or Residence	e Add	lress (Numl	er an	d Street, City, State.	Zip (	Code)				
Castle Town Square	North.	<u>. 4</u> 290 Rout	e 8 –	Suite 205, Allison P	ark, P	A 15101				

Check Box(es) that apply:	[X]	Promoter	[X]	Beneficial Owner	[X]	Executive Officer	[]	Director	[]	General and/or Managing Partner
Full Name (Last nam Zisk, Stephen A.	ne first	, if individu	nal)							
Business or Residence Castle Town Square		•			_	/				
Check Box(es) that apply:						Executive Officer	[]	Director	[]	General and/or Managing Partner
Full Name (Last nam Choban, Gary M.	ne first	, if individu	ıal)				-			
Business or Residence										
Castle Town Square Check Box(es) that apply:							[]	Director	[]	General and/or Managing Partner
Full Name (Last nan Choban, David	ne first	, if individu	ıal)							
Business or Residence Castle Town Square					-	· ·				
Check Box(es) that apply:	[]			Beneficial Owner			[]	Director	[]	General and/or Managing Partner
Full Name (Last nam	ne first	, if individu	ıal)							
Business or Residen	ce Ado	lress (Num	ber an	nd Street, City, State	, Zip (	Code)				
Check Box(es) that apply:	[]	Promoter	[]	Beneficial Owner	[]	Executive Officer	[]	Director	[]	General and/or Managing Partner
Full Name (Last nam	ne first	, if individu	ıal)				-			
Business or Residen	ce Ado	lress (Numl	ber ar	nd Street, City, State	, Zip (	Code)				
Check Box(es) that apply:	[]	Promoter	[]	Beneficial Owner	[]	Executive Officer	[]	Director	[]	General and/or Managing Partner
Full Name (Last nam	ne first	, if individu	ıal)							
Business or Residen	ce Ado	lress (Num	ber ar	nd Street, City, State	, Zip (	Code)				
Check Box(es) that apply:	[]	Promoter	[]	Beneficial Owner	[]	Executive Officer	[]	Director	[]	General and/or Managing Partner
Full Name (Last nam	ne first	, if individu	ıal)				<del></del>			
Business or Residen	ce Ado	lress (Num	ber ar	nd Street, City, State	, Zip (	Code)				
Check Box(es) that apply:	[]	Promoter	[]	Beneficial Owner	[]	Executive Officer	[]	Director	[]	General and/or Managing Partner
Full Name (Last nam	ne first	, if individu	ıal)							
Business or Residen	ce Ado	lress (Num	ber ar	nd Street, City, State	, Zip (	Code)				

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

					B. INFO	RMATI	ON ABO	UT OFF	FERING				
l. Has		sold, or	does the	issuer int	end to se	ll, to non	-accredit	ed investo	ors in this	3		Yes [X]	No [ ]
	•			Answer	r also in A	Appendix	k, Columr	2, if fili	ng under	ULOE.			. ,
2. Wha	t is the m	ninimum	investme	nt that wi	ll be acce	epted fro	m any inc	lividual?				\$ _9,80	00*1
B. Doe:	s the offe	ring pern	nit joint o	wnership	of a sing	gle unit?						Yes [X]	No [ ]
lirectly n conr person ist the	or indirection wo or agent name of	ectly, any ith sales of a brok the broke	commis of securit er or deal er or deal	sion or si ties in the ler registe er. If moi	milar ren offering ered with e than fiv	nuneration. If a personate the SEC we (5) per	peen or whom for solid son to be and/or who are and/or who are a bornation to borna	citation of listed is a with a state e listed a	of purchas in associa e or states re associa	sers ited s, ated	y.		l J
	/7			. 1 . 1	NOT A	PPLICA	BLE			. ,			
tuii Na	me (Last	name firs	st, if indiv	idual)									
Busine	ss or Resi	dence Ad	ldress (Nu	ımber and	Street, C	ity, State,	Zip Code	e)					
Name o	of Associa	ited Brok	er or Deal	ler									
						to Solici	t Purchase	ers		F 3.1	11.0.		
(Check [AL]	"All Stat [AK]	es" or che	eck indivi [AR]	dual State [CA]	s) [CO]	 [CT]	[DE]	[DC]	[FL]	[ ] A [GA]	ll States [HI]	[ID]	
IL]	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]	
MT]	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]	
RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]	
Full Na	me (Last	name firs	st, if indiv	idual)									
Busine	ss or Resi	dence Ad	ldress (Nu	ımber and	Street, C	ity, State,	, Zip Code	e)		<u></u>			
Name o	of Associa	ated Brok	er or Deal	ler							<del></del> .		
							t Purchase	ers		[ ] A	11 States		
				dual State [CA]		[CT]	[DE]	[DC]	[FL]	[GA]	ll States [HI]	[ID]	
[IL]	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]	
MT]	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]	
[RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]	
Full Na	me (Last	name firs	st, if indiv	ridual)									
Busine	ss or Resi	dence Ad	ldress (Nu	ımber and	Street, C	ity, State,	, Zip Code	e)		- /-			
Name (	of Associa	ated Brok	er or Dea	ler									
							t Purchase	ers		f 1 A	Il States		
	[AK]	es or che	eck indivi [AR]	dual State [CA]	:s) [CO]	[CT]	[DE]	[DC]	[FL]	[GA]	Il States [HI]	[ID]	
ALI	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]	
[AL] [IL]	[			[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]	
	[NE] [SC]	[NV] [SD]	[NH] [TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]	

<sup>\*1</sup> The minimum subscription is 50 Class A Units (\$196 per Class A Unit). However, the issuer, in its discretion, may accept subscriptions for a lesser number of Class A Units

C. C
DEFERING PRICE.
NUMBER
OF INVESTORS
. EXPENSES
AND USE
OF PROCEEDS

Aggregate Offering Price \$	Amount Already
\$\$ \$\$ \$\$ 499,996 \$\$	Sold \$ \$ \$ \$ \$ 154,456  *2
Number Investors 6	Aggregate Dollar Amount of Purchases \$ 154,465 \$
Type of Security	Dollar Amount Sold  \$ \$ \$ \$
	Number Investors 6

<sup>\*2</sup> The issuer has received executed subscription agreements for an aggregate amount of \$154,456 as of September 20, 2002, the closing date of the offering.

# C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

expenses in connection of this offering. Exclude an suer. The information mount of an expenditure is	mounts relating solely					
suer. The information m	<b>.</b>					
	1 '					
	s not known, turnish a	111				
ne lett of the estimate.						
				[]	\$	
					\$ _	
					\$_	9,000
					\$_	
hity)	***************************************				· · -	
				[ ]	ъ.	
the aggregate offering	price given in respon	se to				
					\$	490,966
s the "adjusted gross pro	ceeds to the issuer."				_	
		ed or				
		.e				
in response to ruit e	Question 1.0 acove.					
			Payments to			
			Officers,			Payments
			Officers, Directors, &			То
			Officers,			-
		[X]	Officers, Directors, & Affiliates			To Others
			Officers, Directors, & Affiliates  \$ 10,000	[X]	\$	То
easing and installation of	•••••		Officers, Directors, & Affiliates  \$ 10,000			To Others
2	machinery and	[]	Officers, Directors, & Affiliates  \$ 10,000 \$	[X]	\$	To Others
esing and installation of	machinery andl facilities	[]	Officers, Directors, & Affiliates  \$ 10,000	[X]	\$ \$	To Others
essing and installation of assing and installation of assignment buildings and businesses (including the	machinery and I facilities	[]	Officers, Directors, & Affiliates  \$ 10,000 \$	[X] [ ]	\$ \$ \$	To Others
asing and installation of and installation of and go of plant buildings and businesses (including the ng that may be used in e	machinery and I facilities value of securities exchange for the	[]	Officers, Directors, & Affiliates  \$ 10,000 \$ \$ \$	[X] [ ] [ ]	\$ \$ \$ \$	To Others
esing and installation of asing and installation of ag of plant buildings and pusinesses (including the another issuer pursuant	machinery and facilities value of securities exchange for the to a merger)	[]	Officers, Directors, & Affiliates  \$ 10,000 \$	[X] [] []	\$ \$ \$ \$	To Others
asing and installation of asing and installation of as of plant buildings and businesses (including the ang that may be used in eanother issuer pursuant edness	machinery and I facilities e value of securities exchange for the to a merger)	[]	Officers, Directors, & Affiliates  \$ 10,000 \$	[X] [] []	\$ \$ \$ \$ \$ \$ \$	To Others 40,000
asing and installation of asing and installation of as of plant buildings and businesses (including the another issuer pursuant edness	machinery and I facilities e value of securities exchange for the to a merger)		Officers, Directors, & Affiliates  \$ 10,000 \$	[X] [ ] [ ] [ ] [ ] [ ] [ X]	\$ \$ \$ \$ \$ \$	To Others  40,000  365,996
asing and installation of asing and installation of as of plant buildings and susinesses (including the another issuer pursuant edness	machinery and I facilities e value of securities exchange for the to a merger)	[]	Officers, Directors, & Affiliates  \$ 10,000 \$	[X] [] []	\$ \$ \$ \$ \$ \$ \$	To Others 40,000
asing and installation of asing and installation of as of plant buildings and susinesses (including the another issuer pursuant edness	machinery and facilities value of securities exchange for the to a merger)		Officers, Directors, & Affiliates  \$ 10,000 \$	[X] [ ] [ ] [ ] [ ] [ ] [ X]	\$ \$ \$ \$ \$ \$	To Others  40,000  365,996
asing and installation of asing and installation of as of plant buildings and businesses (including the ang that may be used in eanother issuer pursuant edness	machinery and facilities value of securities exchange for the to a merger)	[]	Officers, Directors, & Affiliates  \$ 10,000 \$	[X] [ ] [ ] [ ] [ X] [ X]	\$ \$ \$ \$ \$ \$	To Others  40,000  365,996  75,000
asing and installation of asing and installation of as of plant buildings and susinesses (including the another issuer pursuant edness	machinery and facilities value of securities exchange for the to a merger)	[] [] [] [] [] [] [] [] [] [] [] [] []	Officers, Directors, & Affiliates  \$ 10,000 \$	[X] [ ] [ ] [ ] [ ] [ ] [ X]	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	To Others  40,000  365,996
	pecify finders' fees separately finders' fees separately finders' fees separately fees furnished in resist the "adjusted gross proof the adjusted gross proof the purposes shown. If an estimate and check the feets listed must equal the	pecify finders' fees separately)  the aggregate offering price given in response spenses furnished in response to Part C – s the "adjusted gross proceeds to the issuer."  If the adjusted gross proceeds to the issuer use of the purposes shown. If the amount for any	g Costs  pecify finders' fees separately)  the aggregate offering price given in response to expenses furnished in response to Part C – as the "adjusted gross proceeds to the issuer."  If the adjusted gross proceeds to the issuer used or a find the purposes shown. If the amount for any an estimate and check the box to the left of the tents listed must equal the adjusted gross	pecify finders' fees separately)  in the aggregate offering price given in response to expenses furnished in response to Part C — as the "adjusted gross proceeds to the issuer."  If the adjusted gross proceeds to the issuer used or a fithe purposes shown. If the amount for any an estimate and check the box to the left of the leents listed must equal the adjusted gross	the left of the estimate.    Costs	the left of the estimate.  If the adjusted gross proceeds to the issuer used or a fithe purposes shown. If the amount for any an estimate and check the box to the left of the tents listed must equal the adjusted gross  If the adjusted gross proceeds to the left of the tents listed must equal the adjusted gross  If the stimate and check the box to the left of the tents listed must equal the adjusted gross

# D. FEDERAL SIGNATURE

The issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnished by the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Rule 502.

Issuer (Print or Type)

Nuventive, LLC

Name of Signer (Print or Type)

David F. Raney, M.D.

Signature

September 27, 2002

President, Chief Executive Officer and Manager

ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)